Application of Baylis-Hillman Methodology in a New Synthesis of 3-Oxo-2,3-dihydro-1*H*-isoindoles

Young Seok Song, Chang Hoon Lee and Kee-Jung Lee*

Department of Chemical Engineering, Hanyang University, Seoul 133-791, Korea Received June 2, 2003

The Baylis-Hillman reaction of 2-cyanobenzaldehyde with some activated alkenes leading to the formation of 3-oxo-2,3-dihydro-1*H*-isoindoles has been described.

J. Heterocyclic Chem., 40, 939 (2003).

In recent years, the Baylis-Hillman reaction has become a powerful tool for construction of carbon-carbon bonds in organic chemistry because it is completely atom economical and provides densely functionalized structural units, which have been successfully employed in a veriety of interesting organic transformations [1]. Among them, the Baylis-Hillman adducts have been used to provide convenient access to benzannulated or other heterocyclic systems. These include indolizines [2], quinolines [3], chromenes [4], thiochromenes [5], indenes [6], pyridopyrimidones [7], 1,4-oxazepin-7-ones [8], coumarins [9] and isobenzofuranones [10].

Meanwhile, 1-imino-1,3-dihydroisobenzofurans are a well known class of heterocycles, the syntheses of which commonly involve acid- or base-induced cyclization of 2-cyanobenzaldehyde with alcohols [11] or cyclization of 2-cyanobenzyl alcohols, and readily transformed into phthalimides or phthalides in moist organic solvent (Scheme I) [12]. We presumed that we could obtain the 3-substituted-1-imino-1,3-dihydroisobenzofuran derivatives **4** or their isomerized phthalimidines **5** from the



Baylis-Hillman reaction of 2-cyanobenzaldehyde with activated alkenes (Scheme II) [13]. In this direction we first carried out the reaction of 2-cyanobenzaldehyde with methyl acrylate (**2a**) and a catalytic amount (0.2 equivalents) of 1,4-diazabicyclo[2.2.2]octane (DABCO) under various conditions. The best results were obtained when the reaction was run in dioxane/water (15:1) at room temperature for 24 hours [14]. After the usual work-up and column chromatography followed by crystallization with ethyl acetate, the expected methyl 2-(3-imino-1,3-dihydro-isobenzofuran-1-yl)acrylate (**4a**) or hydrolysis product,



phthalide **7a** was not obtained. Instead the rearrangement product, methyl 2-(3-oxo-2,3-dihydro-1*H*-isoindol-1yl)acrylate (**5a**) was produced in 45% yield as the sole product. This protocol was successfully extended to other activated alkenes such as ethyl acrylate (**2b**) and methyl vinyl ketone (**2c**), the corresponding products **5b** (46%) and **5c** (33%) being isolated. However, in the cases of acrylonitrile (**2d**) and phenyl vinyl sulfone (**2e**) the highly substituted olefin products, 2-(3-oxo-2,3-dihydroisoindol-1-ylidene)propionitrile (**6d**) and 3-(1-benzenesulfonylethylidene)-2,3-dihydro-1*H*-isoindol-1-one (**6e**) were produced in 78% and 30% yields, respectively. An effort for the transformation of **5a-c** into the **6a-c** was unsuccessful in refluxing chloroform for 24 hours with or without *p*-toluenesulfonic acid catalyst.

The structure **5** was established on the basis of spectroscopic data. Compound **5a**, for instance, had the molecular formula C₁₂H₁₁NO₃, as indicated by mass spectra (M⁺, 217) as 5% relative intensity. In the ¹H nmr spectrum, the signals from the three methine protons appeared as three singlets at 5.62, 5.84 and 6.33 ppm. The signal corresponding to the NH proton appeared as a singlet at 7.61 ppm and exchangeable in deuterium oxide. The ¹³C nmr showed two carbonyl carbon signals at 166.4 (ester) and 171.1 ppm (amide). Also in the HMBC spectrum and the DEPT ¹³C nmr spectrum of **5a** NH proton was correlated with quaternary carbon atoms at $\delta = 131.8$ and 146.0 ppm. The infrared spectrum showed absorption bands in 3196 and 1708 cm⁻¹ assignable for the lactam NH bond and the lactam carbonyl bond, respectively [15]. The stereochemistry of the obtained alkylidene phthalimidine 6d as E and **6e** as Z was determined by two-dimensional NOESY experiments. In 6d, correlation between methyl peak (s, δ = 2.13 ppm) and NH proton (s, δ = 11.23 ppm) was observed, and no correlation between methyl peak and any aromatic protons was shown, whereas correlation between methyl peak (s, $\delta = 2.36$ ppm) and aromatic proton (m, $\delta =$ 7.69-7.82 ppm) was observed for **6e**. The reason for the selective formation of alkylidene phthalimidines in the cases of acrylonitrile and phenyl vinyl sulfone cannot be explained at this moment.

The conversion of **1** into **5** and **6** would appear to proceed in such a way that an intramolecular nucleophilic addition reaction of the hydroxyl group at the nitrile bond of the Baylis-Hillman adduct **3** gives 1-imino-1,3-dihydroisobenzofurans **4**, which immediately rearranges to afford **5a-c** or **6d**, **e** after proton transfer by the well known process [11]. Another possible explanation of conversion of **4** to **5** which is illustrated in Scheme III involves DABCO assisted fission of the C-O bond and recombination of the C-N bond



by the addition-elimination pathway. The thermodynamic stability of the lactam **5a-c** from attack of the $-NH_2$ would select out over the regeneration of the less stable imino form **4a-c** derived from re-addition of the carbonyl oxygen which is probably kinetically favored.

3-Oxo-2,3-dihydro-1*H*-isoindoles have attracted much attention from the scientific community in recent years, because they represent the core unit of a wide range of naturally occurring substances [16] or bio-active compounds [17].

In conclusion, the Baylis-Hillman reaction of 2cyanobenzaldehyde with some activated alkenes constitutes a new convenient route to 3-oxo-2,3-dihydro-1*H*isoindoles-products with obvious potential for elaboration to 1-substituted derivatives.

EXPERIMENTAL

Melting points were obtained using an electrothermal melting point apparatus and are uncorrected. Infrared spectra were recorded on a Nicolet Magna 550 FTIR spectrometer. ¹H nmr (300 MHz) and ¹³C NMR (75 MHz) spectra were measured on a Varian Gemini 300 spectrometer. The HMBC, DEPT and NOSEY spectra were obtained on a 300 MHz Bruker spectrometer. Mass spectra were recorded on a ThermoQuest Polaris Q mass spectrometer operating at 70 eV. Elemental analyses were obtained on a Carlo Erba EA 1180 element analyzer.

Methyl 2-(3-Oxo-2,3-dihydro-1*H*-isoindol-1-yl)acrylate (**5a**); Typical Procedure.

To a stirred solution of 2-cyanobenzaldehyde (1, 0.20 g, 1.52 mmoles) in aqueous dioxane (H2O: 0.4 ml, dioxane: 5 ml) was added methyl acrylate (2a, 0.47 g, 5.48 mmoles) and DABCO (0.03 g, 0.31 mmoles) at room temperature. After 24 hours, the mixture was diluted with water (10 ml) and neutralized with 5% hydrochloric acid (0.23 ml) and extracted with dichloromethane (3 x 20 ml). The combined organic layers were dried over anhydrous magnesium sulfate and the solvent was evaporated. The resulting mixture was chromatographed on silica gel eluting with hexane/ethyl acetate (3:1) to afford 0.15 g (45%) of 5a as a colorless crystalline solid, mp 172-174 °C; ir (potassium bromide): 3196, 1708, 1634 cm⁻¹; ¹H nmr (deuteriochloroform): δ 3.87 (s, 3 H), 5.62 (s, 1 H), 5.84 (s, 1 H), 6.33 (s, 1 H), 7.47-7.59 (m, 3 H), 7.61 (s, 1 H, exchangeable in deuterium oxide), 7.86 (d, 1 H, J = 6.7 Hz; ¹³C nmr (deuteriochloroform): δ 52.3, 56.5, 123.5, 124.0, 126.3, 128.5, 131.3, 132.0, 137.8, 145.6, 166.4, 171.1; ms: m/z (%) 217 (M⁺, 5), 186 (16), 185 (100), 158 (65), 157 (42), 132 (56), 130 (59), 129 (30).

Anal. Calcd. for C₁₂H₁₁NO₃: C, 66.35; H, 5.10; N, 6.45. Found: C, 66.14; H, 4.89; N, 6.20.

Ethyl 2-(3-Oxo-2,3-dihydro-1*H*-isoindol-1-yl)acrylate (5b).

The procedure was the same as described above. Yield: 46%; colorless solid; mp 114-115 °C; ir (potassium bromide): 3203, 1708, 1634 cm⁻¹; ¹H nmr (deuteriochloroform): δ 1.33 (t, 3 H, J = 7.0 Hz), 4.31 (q, 2 H, J = 7.0 Hz), 5.61 (s, 1 H), 5.82 (s, 1 H), 6.33 (s, 1 H), 7.29 (s, 1 H, exchangeable in deuterium oxide), 7.47-7.57 (m, 3 H), 7.87 (d, 1 H, J = 7.6 Hz); ¹³C nmr (deuteriochloroform): δ 14.1, 56.6, 61.4, 123.4, 123.9, 126.0, 128.4,

941

131.4, 132.0, 138.1, 145.7, 165.9, 171.1; ms: m/z (%) 231 (M⁺, 7), 202 (18), 186 (16), 185 (100), 158 (75), 157 (56), 132 (55), 130 (50), 129 (32).

Anal. Calcd. for $C_{13}H_{13}NO_3$: C, 67.52; H, 5.67; N, 6.06. Found: C, 67.38; H, 5.43; N, 5.83.

3-(3-Oxo-2,3-dihydro-1*H*-isoindol-1-yl)-3-buten-2-one (5c).

The procedure was the same as described above except that the reaction mixture was stirred for 12 hours. Yield: 33%; colorless solid; mp 168 °C; ir (potassium bromide): 3196, 1716, 1662 cm⁻¹. ¹H nmr (deuteriochloroform): δ 2.46 (s, 3 H), 5.71 (s, 1 H), 5.99 (s, 1 H), 6.17 (s, 1 H), 6.69 (s, 1 H, exchangeable in deuterium oxide), 7.38 (d, 1 H, J = 7.3 Hz), 7.46-7.58 (m, 2 H), 7.86 (d, 1 H, J = 7.3 Hz); ¹³C nmr (deuteriochloroform): δ 26.1, 55.3, 123.6, 123.9, 126.2, 128.4, 131.1, 132.1, 146.0, 146.1, 171.3, 199.2; ms: m/z (%) 201 (M⁺, 8), 159 (24), 158 (100), 132 (13), 130 (24).

Anal. Calcd. for C₁₂H₁₁NO₂: C, 71.63; H, 5.51; N, 6.96. Found: C, 71.51; H, 5.70; N, 6.64.

2-(3-Oxo-2,3-dihydroisoindol-1-ylidene)propionitrile (6d).

The procedure was the same as described in **5a**. Yield: 78%; colorless solid; mp 282 °C; ir (potassium bromide): 3180, 2205, 1716, 1650 cm⁻¹. ¹H nmr (dimethyl-d₆ sulfoxide): δ 2.13 (s, 3 H), 7.71 (d, 1 H, J = 6.7 Hz), 7.82 (br s, 2 H), 8.31 (d, 1 H, J = 7.0 Hz), 11.23 (s, 1 H, exchangeable in deuterium oxide); ¹³C nmr (dimethyl-d₆ sulfoxide): δ 15.9, 83.6, 120.4, 122.7, 123.7, 130.0, 131.4, 133.7, 134.3, 145.5, 167.5; ms: m/z (%) 184 (M⁺, 100), 183 (32), 156 (27), 155 (35), 130 (22), 129 (26), 103 (17).

Anal. Calcd. for $C_{11}H_8N_2O$: C, 71.73; H, 4.38; N, 15.21. Found: C, 71.49; H, 4.55; N, 15.48.

3-(1-Benzenesulfonylethylidene)-2,3-dihydro-1*H*-isoindol-1-one (**6e**).

The procedure was the same as described in **5a** except that 1 equivalent of DABCO was used and stirred for 120 hours. Yield: 30%; colorless solid; mp 199-200 °C; ir (potassium bromide): 3370, 1720, 1634, 1285, 1141 cm⁻¹; ¹H nmr (deuteriochloroform): δ 2.36 (s, 3 H), 7.54-7.69 (m, 5 H), 7.69-7.82 (m, 1 H), 7.92-7.98 (m, 3 H), 10.03 (s, 1 H, exchangeable in deuterium oxide); ¹³C nmr (deuteriochloroform): δ 14.1, 111.9, 124.5 125.2, 127.5, 129.4, 130.3, 131.4, 132.9, 133.8, 136.0, 139.8, 139.9, 167.0; ms: m/z (%) 299 (M⁺, 61), 235 (15), 206 (22), 174 (100), 158 (25), 157 (78), 130 (33), 129 (66), 103 (25).

Anal. Calcd. for C₁₆H₁₃NO₃S: C, 64.20; H, 4.38; N, 4.68. Found: C, 64.02; H, 4.09; N, 4.42.

Acknowledgement.

This study was supported by a grant of the Korea Health 21 R&D Project, Ministry of Health & Welfare, Republic of Korea (01-PJ1-01CH03-0003).

REFERENCES AND NOTES

* Author to whom correspondence should be addressed.

[1] For recent reviews, see: [a] S. E. Drewes and G. H. P. Roos, *Tetrahedron*, **44**, 4653 (1988); [b] D. Basavaiah, P. D. Rao and R. S. Hyma, *Tetrahedron*, **52**, 8001(1996); [c] E. Ciganek, In Organic Reactions, Vol. **51**, L. A. Paquette, ed, Wiley, New York, 1999, pp 201-350.

[2a] M. L. Bode and P. T. Kaye, J. Chem. Soc., Perkin Trans. 1,
2612 (1990); [b] M. L. Bode and P. T. Kaye, J. Chem. Soc., Perkin Trans. 1, 1809 (1993).

[3a] O. B. Familoni, P. T. Kaye and P. J. Klaas, *Chem. Commun.*, 2563 (1998); [b] J. N. Kim, K. Y. Lee, H. S. Kim and T. Y. Kim, *Org. Lett.*, **2**, 343 (2000); [c] J. N. Kim, H. J. Lee, K. Y. Lee and H. S. Kim, *Tetrahedron Lett.*, **42**, 3737 (2001); [d] J. N. Kim, H. S. Kim, J. H. Gong and Y. M. Chung, *Tetrahedron Lett.*, **42**, 8341 (2001).

[4] P. T. Kaye and X. W. Nocanda, J. Chem Soc., Perkin Trans. 1, 1331 (2000).

[5] P. T. Kaye and X. W. Nocanda, Synthesis, 2389 (2001).

[6] D. Basavaiah, M. Bakthadoss and G. J. Reddy, *Synthesis*, 919 (2001).

[7] D. Basavaiah and T. Satyanarayana, *Tetrahedron Lett.*, **43**, 4301 (2002).

[8] R. Rächer, K. Döring, and O. Reiser, J. Org. Chem., 65, 6932 (2000).

[9] P. T. Kaye, M. A. Musa and X. W. Nocanda, *Synthesis*, 531 (2003).

[10] K. Y. Lee, J. M. Kim and J. N. Kim, Synlett, 357 (2003).

[11] R. Sato, M. Ohmori, F. Kaitani, A. Kurosawa, T. Senzaki, T. Goto and M. Saito, *Bull. Chem. Soc. Japan*, **61**, 2481 (1988).

[12a] M. Renson and R. Colliene, Bull. Soc. Chim. Belg., 73, 491 (1964);
[b] M. V. Sargent, J. Chem. Soc., Perkin Trans. 1, 231 (1987).

[13] The Baylis-Hillman reaction of 2-cyanobenzaldehyde has not been reported until now. When our study has been finished, a similar synthetic methodology is described by Kim for the synthesis of 3-alkylidene-3*H*-isobenzofuranones: see, reference 10.

[14] No product was observed after 5 days in neat dioxane.

[15] E. Deniau and D. Enders, *Tetrahedron Lett.*, **41**, 2347 (2000).

 [16a] N. G. Kundu, M. W. Khan and R. Mukhopadhyay, *Tetrahedron*, **55**, 12361 (1999);
[b] A. Couture, E. Deniau, P. Grandclaudon and C. Hoarau, *J. Org. Chem.*, **63**, 3128 (1998);
(c) F. A. Luzzio and D. P. Zacherl, *Tetrahedron Lett.*, **39**, 2285 (1998).

[17a] J. L. Wood, D. T. Petsch, B. M. Stoltz, E. M. Hawkins, D. Elbaum and D. R. Stover, *Synthesis*, 1529 (1999); [b] T. R. Belliotti, W. A. Brink, S. R. Kesten, J. R. Rubin, D. J. Wustrow, K. T. Zoski, S. Z. Whetzel, A. E. Corbin, T. A. Pugsley, T. G. Heffner and L. D. Wise, *Bioorg. Med. Chem. Lett.*, 8, 1499 (1998); [c] Z. -P. Zhuang, M. -P. Kung, M. Mu and H. F. Kung, *J. Med. Chem.*, 41, 157 (1998); [d] I. Takahashi, E. Hirano, T. Kawakami and H. Kitajima, *Heterocycles*, 43, 2343 (1996); [e] T. Kondo, K. Yoshida and S. Tanayama, *Arzneim. Forsch.*, 46, 839 (1996).